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APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/815,630

04/02/2004

Paul Lapstun

HYC003US

24011 SILVERBROOK RESEARCH PTY LTD 393 DARLING STREET BALMAIN, 2041 **AUSTRALIA** 

**CONFIRMATION NO. 9561 FORMALITIES LETTER** \*OC000000012984514\*

Date Mailed: 06/17/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

### **Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

 Additional claim fees of \$344 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

#### **SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$344 for a Large Entity

- Total additional claim fee(s) for this application is \$344
  - **\$54** for **27** total claims over 20.
  - \$290 for multiple dependent claim surcharge.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

08/10/2004 SZEWDIE1 00000135 10815630

P.O. Box 1450

01 FC:1202 02 FC:1203

54.00 OP 290.00 OP

Alexandria VA 22313-1450

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE

PTO/SB/21 (02-04)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
1995. no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 10/815.630 TRANSMITTAL Filing Date April 2, 2004 **FORM** First Named Inventor Paul Lapstun Art Unit (to be used for all correspondence after initial filing) 3624 **Examiner Name** Attorney Docket Number HYC003US Total Number of Pages in This Submission

| ENCLOSURES (Check all that apply)          |  |   |      |  |      |   |  |  |
|--|--|---|------|--|------|---|--|--|
|  | Amendme Affi Affi Extension Express A Information Certified C Document Response Incomplete | ter Final fidavits/declaration(s) of Time Request shandonment Request n Disclosure Statement Copy of Priority | Rem  | Drawing(s)  Licensing-related Papers  Petition  Petition to Convert to a  Provisional Application  Power of Attorney, Revocation  Change of Correspondence Address  Terminal Disclaimer  Request for Refund  CD, Number of CD(s) |      | After Allowance communication to Technology Center (TC) Appeal Communication to Board of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please Identify below): |  |  |
| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT |  |   |      |  |      |   |  |  |
| Firm                                       | T  | SIGNA   | IUKE | OF AFFLICANT, ATTORNEY, U  | K AG | ENI   |  |  |
| or<br>Individual name                      |  | Paul Lapstun, Kia Silverbrook, Jacqueline Anne Lapstun, Paul Quentin Scott                                    |      |  |      |   |  |  |
| Signat                                     | ure  | re the  | JZ   | plan Elector   | Ø    |   |  |  |
| Date                                       |  | July 26, 2004   |      |  |      |   |  |  |
|  |  |   |      |  |      |   |  |  |

## **CERTIFICATE OF TRANSMISSION/MAILING** I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name Date Signature

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

AUG 0 9 2004 22

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# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

\_\_\_ Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT** 

| 131 077.00 | (\$) | 344.00 |  |
|------------|------|--------|--|
|------------|------|--------|--|

| Complete if Known    |               |  |  |  |
|----------------------|---------------|--|--|--|
| Application Number   | 10/815,630    |  |  |  |
| Filing Date          | April 2, 2004 |  |  |  |
| First Named Inventor | Paul Lapstun  |  |  |  |
| Examiner Name        |               |  |  |  |
| Art Unit             | 3624          |  |  |  |
| Attorney Docket No.  | HYC003US      |  |  |  |

PTO/SB/17 (10-03)

| Check   Credit card   Money   Other   None   Order   None   Order      | METHOD OF PAYMENT (check all that apply)      | FEE CALCULATION (continued) |        |         |          |   |          |
|--|---|-----------------------------|--------|---------|----------|---|----------|
| Deposit Account:  Deposit Account Number Depo |   | 3. ADDITIONAL FEES          |        |         |          |   |          |
| Deposit Account Number Num |   | Large Ent                   | tity   | Small   | Entity   |   |          |
| Number Deposit Account Name The Director is authorized to: (check all that apply) Charge fee(s) indicated below Credit any overpayments of the above-identified deposit account.    Charge fee(s) indicated below, except for the filling fee to the above-identified deposit account.    FEE CALCULATION   1251   110   1251 | Deposit                                       |                             |        |         |          | Fee Description   | Fee Paid |
| The Director is authorized to: (check all that apply)    Charge fee(s) indicated below   Credit any overpayments     Charge fee(s) indicated below   State protection     State protection   State protection     Sta |   | 1051 13                     | 30     | 2051    | 65       | Surcharge - late filing fee or oath   |          |
| The Director is authorized to: (Check all that apply)    Charge fee(s) indicated below   | Account                                       | 1052                        | 50     | 2052    | 25       |   |          |
| Charge fee(s) indicated below  |   | 1053 13                     | 30     | 1053    | 130      | Non-English specification   |          |
| Charge any additional fee(s) or any underpayment of fee(s)  Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.  FEE CALCULATION  1. BASIC FILING FEE Large Entity Small Entity Fee Fee Fee Fee Fee Fee Fee Pee Description Fee Paid  1001 770 2001 385 Utility filing fee 1003 530 2003 265 Plant filing fee 1004 770 2004 385 Reissue filing fee 1004 770 2004 385 Reissue filing fee 1005 160 2005 80 Provisional filing fee 1005 160 2005 80 Provisi |   | 1812 2,5                    | 520    | 1812 2  | 2,520    | For filing a request for ex parte reexamination                               |          |
| Total Claims  Total Claims  FEE CALCULATION  1. BASIC FILING FEE Large Entity Small Entity Fee   |   | 1804 9                      | 920*   | 1804    | 920*     |   |          |
| 1. BASIC FILING FEE   Large Entity   Small Entity   Fee      |   | 1805 1,8                    | 340*   | 1805    | 1,840*   |   |          |
| 1. BASIC FILING FEE Large Entity Small Entity Fee  | FEE CALCULATION                               | 1251 1                      | 110    | 2251    | 55       | Extension for reply within first month  |          |
| Large Entity Small Entity Fee  |   | 1252 4                      | 120    | 2252    | 210      | Extension for reply within second month                                       |          |
| Code (\$)  |   | 1253 9                      | 950    | 2253    | 475      | Extension for reply within third month  |          |
| 1001 770   2001 385   Utility filing fee   1255 2,010   2255 1,005   Extension for reply within fifth month   1401 330   2401 165   Notice of Appeal   1402 330   2402 165   Filing a brief in support of an appeal   1402 330   2402 165   Filing a brief in support of an appeal   1403 290   2403 145   Request for oral hearing   1451 1,510   1451 1,510   Petition to institute a public use proceeding   1452 110   2452   155   Petition to revive - unavoidable   1453 1,330   2453   665   Petition to revive - unintentional   1501 1,330   2501   665   Utility issue fee (or reissue)   1502 480   2503 320   Plant issue fee   1503 640   130   Petitions to the Commissioner   1460 130   Petitions to the Commissioner   1460 130   Petitions to the Commissioner   1460 130   Processing fee under 37 CFR 1.17(q)   1460   130   Processing fee under 37 CFR 1.17(q)   1460   130   Processing fee under 37 CFR 1.17(q)   1460   130   Processing fee under 37 CFR 1.17(q)   1460   1   |   | 1254 1,4                    | 180    | 2254    | 740      | Extension for reply within fourth month                                       |          |
| 1003 530 2003 265 Plant filing fee   | 11.   | 1255 2,0                    | 010    | 2255    | 1,005    | Extension for reply within fifth month  |          |
| 1004 770 2004 385 Reissue filing fee   | 1002 340 2002 170 Design filing fee           | 1401 3                      | 330    | 2401    | 165      | Notice of Appeal  |          |
| 1005 160 2005 80 Provisional filing fee SUBTOTAL (1) (\$\\$) 1451 1,510 Petition to institute a public use proceeding 1452 110 2452 55 Petition to revive - unavoidable 1453 1,330 2453 665 Petition to revive - unintentional 1501 1,330 2501 665 Utility issue fee (or reissue) 1502 480 2502 240 Design issue fee 1503 640 2503 320 Plant issue fee 1503 640 130 Petitions to the Commissioner 1600 1807 50 Processing fee under 37 CFR 1.17(q)   | 1003 530 2003 265 Plant filing fee            | 1402 3                      | 330    | 2402    | 165      | Filing a brief in support of an appeal  |          |
| SUBTOTAL (1) (\$)  | 1004 770 2004 385 Reissue filing fee          | 1403 2                      | 290    | 2403    | 145      | Request for oral hearing  |          |
| 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE Fee from Fee Paid Independent Claims Multiple Dependent  SUBTOTAL (1) (5)  1453 1,330 1453 1,330 1453 1,330 1453 1,330 1501 1,330 1501 1,330 1502 480 1502 480 1503 640 1503 640 1503 640 1503 640 1503 1460 130 1460 130 Petition to revive - unintentional 1501 1,330 1502 480 1502 480 1503 640 1503 640 1503 640 1503 1460 130 Petitions to the Commissioner 1604 130 Processing fee under 37 CFR 1.17(q)  | 1005 160 2005 80 Provisional filing fee       | 1451 1,5                    | 510    | 1451    | 1,510    | Petition to institute a public use proceeding                                 |          |
| 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE  | SUBTOTAL (1) (\$)                             | 1452 1                      | 110    | 2452    | 55       | Petition to revive - unavoidable  |          |
| Total Claims   |   | 1453 1,3                    | 330    | 2453    | 665      | Petition to revive - unintentional  |          |
| Total Claims   | Fee from                                      | 1501 1,3                    | 330    | 2501    | 665      | Utility issue fee (or reissue)  |          |
| Independent  |   | 1502 4                      | 180    | 2502    | 240      | Design issue fee  |          |
| Multiple Dependent 130 1460 130 Petitions to the Commissioner 1460 130 Petitioner 1460 Petitioner 1 | Independent                                   | 1503 6                      | 340    | 2503    | 320      | Plant issue fee   |          |
| 1807 50 1807 50 Processing fee under 37 CFR 1.17(q)  | Cialitis                                      | 1460 1                      | 130    | 1460    | 130      | Petitions to the Commissioner   |          |
|  | 1230  | 1807                        | 50     | 1807    | 50       | Processing fee under 37 CFR 1.17(q)   |          |
| Large Entity   Small Entity Fee Fee Fee Fee Fee Description 1806 180 1806 180 Submission of Information Disclosure Stmt  |   | 1806 1                      | 80     | 1806    |          |   |          |
| Code (\$)  Code (\$)  1202 18 2202 9 Claims in excess of 20  8021 40 8021 40 Recording each patent assignment per property (times number of properties)  | Code (\$) Code (\$)                           | 8021                        | 40     | 8021    | 40       | Recording each patent assignment per<br>property (times number of properties) |          |
| 1202 16 2202 9 Claims in excess of 20 1809 770 2809 385 Filing a submission after final rejection (37 CFR 1.129(a))  |   | 1809 7                      | 770    | 2809    | 385      |   |          |
| 1203 290 2203 145 Multiple dependent claim, if not paid 1810 770 2810 385 For each additional invention to be  |   | <br>  1810   7              | ,,,    | 2810    | 385      | ` ' ''  |          |
| 1204 86 2204 43 ** Reissue independent claims examined (37 CFR 1.129(b))   | 1204 86 2204 43 ** Reissue independent claims |                             |        |         | 500      |   |          |
| over original patent 1801 770 2801 385 Request for Continued Examination (RCE)   | • .   |                             |        |         |          | · · · · · · · · · · · · · · · · · · ·   |          |
| 1205 18 2205 9 ** Reissue claims in excess of 20 1802 900 Request for expedited examination of a design application  |   | 1802 9                      | 900    | 1802    | 900      | Request for expedited examination of a design application                     |          |
| SUBTOTAL (2) (\$) 344.00 Other fee (specify)   | SUBTOTAL (2) (\$) 344.00                      | Other fee                   | (spe   | cify)   |          | .=  |          |
| **Peduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)   | 000.01A2 (2) <u>(Φ)</u>                       | *Reduced                    | d by E | Basic F | iling Fe | ee Paid SUBTOTAL (3) (\$)   |          |

SUBMITTED BY

Name (Print/Type)

Paul Lapstun, Kla Silverbrook, Jacqueline Anne Lapstun and Paul Quentin Scott

Signature

(Complete (if applicable))

Telephone+61298186833

ARomey/Agent)

Date

July 26, 2004

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRES SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



## n the United States Patent and Trademark Office

Serial Number:

10/815,630

Application. Filed:

April 2, 2004

Applicant:

Paul Lapstun, Kia Silverbrook, Jacqueline Anne Lapstun and Paul Quentin

Scott

Application. Title:

**Anonymous Competition Entry** 

Examiner/GAU:

3624

Dated

July 26, 2004

At:

Balmain, NSW

Docket No.

HYC003US

## REPLY TO NOTICE TO FILE MISSING PARTS (UNDER 37 CFR 1.53(b))

Commissioner for Patents
Washington, District of Columbia 20231

Dear Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application dated June 17, 2004, the Applicant now encloses the total additional claim fee of \$344, for 27 claims over 20 (\$54) and for multiple dependent claim surcharge (\$290) for multiple dependent claim surcharges.

Very respectfully,

Applicants:

Por 1-

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